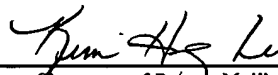
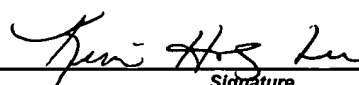


IFW 1646

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 53047-31628	
In Re Application Of: Dugan et al.				
Serial No. 10/083,283	Filing Date February 23, 2002	Examiner Not Yet Assigned	Group Art Unit 1646	
Title: CARBOXYFULLERENES AND METHODS OF USE THEREOF				
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 53047-31628	
In Re Application: Dugan et al.				
Serial No. 10/083,283	Filing Date February 23, 2002	Examiner Not Yet Assigned	Group Art Unit 1646	
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))				
<input type="checkbox"/> A check in the amount of _____ is attached.				
<input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 20-0823 as described below. A duplicate copy of this sheet is enclosed.				
<input type="checkbox"/> Charge the amount of _____				
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Certificate of Transmission by Facsimile* I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____ _____ (Date) _____ Signature _____ Typed or Printed Name of Person Signing Certificate		Certificate of Mailing by First-Class Mail I certify that this document and fee is being deposited on September <u>13</u> , 2004 with the U.S. Postal Service as First-Class Mail under 37 C.F.R. 1.8 and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  Signature of Person Mailing Correspondence Kimberly H. Lu Typed or Printed Name of Person Mailing Certificate		
<p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">  Signature Kimberly H. Lu, Reg. No. 51,973 Thompson Coburn LLP One US Bank Plaza St. Louis, Missouri 63101-9928 314-552-6000 314-552-7000 FAX </div> <div style="width: 45%;"> Dated: September <u>13</u>, 2004 </div> </div>				
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Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/083,283
				Filing Date	February 23, 2002
				First Named Inventor	Dugan et al.
				Art Unit	1646
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	53047-31628

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 5,739,376	04-14-1998	Bingel	
	AB	US- 6,538,153 B1	03-25-2003	Hirsch et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	AC	WO-97/46227	12-11-1997	Choi et al.		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	AD	DUGAN, L. et al., Carboxyfullerenes as Neuroprotective Agents, Proc. Natl. Acad. Sci, August 1997, 94: 9434-9439	
	AE	BOSI, S. et al., Fullerene Derivatives: An Attractive Tool for Biological Applications, Euro. J. of Med. Chem. 2003, 38: 913-923	
	AF	PCT International Search Report dated August 12, 2004	

Examiner Signature	Date Considered
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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